



Attorney's Docket No. 42.P14872

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Hua Li et al.

Application No.: 09/900,373

Filed: July 6, 2001

For: EXTERNAL CAVITY LASER  
WITH ORTHOGONOL TUNING  
OF LASER WAVELENGTH AND  
CAVITY OPTICAL PATH LENGTH

Examiner: Hung T. Vy

Art Unit: 2828

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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37 C.F.R. §1.97(b).

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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 12/19/2003

  
Jan Little-Washington  
Reg. No. 41,181

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(206) 292-8600

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Substitute for Form 1449/PTO <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/900,373	
			Filing Date	July 6, 2001	
			First Named Inventor:	Hua Li	
			Art Unit	2828	
			Examiner Name	Hung T. Vy	
Sheet	1	of	2	Attorney Docket Number	42.P14872

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		US-	5,867,512	02/02/1999	Sacher	
		US-	5,838,713	11/17/1998	Shimoji	
		US-	5,596,456	01/21/1997	Luecke	
		US-				
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		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		PCT/US99/24137	10/15/1999	PCT International Search Report		
		PCT/US02/21413	07/05/2002	PCT International Search Report		
		WO 00/49689	02/18/2000	New Focus, Inc.		
		EP0951112 A2	03/31/1999	European Patent Application		
		EP0687045 A2	06/06/1995	European Patent Application		

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Substitute for Form 1449/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	09/900,373
				Filing Date	July 6, 2001
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				Art Unit	2828
				Examiner Name	Hung T. Vi
Sheet	2	of	2	Attorney Docket Number	42.P14872
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
		N.A. OLSON et al., "Performance Characteristics of 1.5 $\mu$ m External Cavity Semiconductor Lasers for Coherent Optical Communication" Journal of Lightwave Technology LT-5 (1987) 510-515, April, No. 4, New York, New York, USA			
		ZHANG et al., "An Interference Filter Based External Cavity Laser For Dense Wavelength Division Multiplexing Applications", Technical Proceedings, Vol. 1, National Fiber Optics Engineers Conference, September 13-17, 1998.			
		ZORABEDIAN et al., "Interference-filter-tuned, alignment-stabilized, semiconductor external-cavity laser", Reprinted from Optics Letters, Vol. 13, page 826, October, 1988.			

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